CORNERSTONE

Design guidelines for CORNERSTONE MPW #30 – July 2022

Sign-up deadline – Friday 9th September 2022

Mask submission deadline – Friday 7th October 2022

1   Terms & conditions and cost

All design submissions must agree with the terms and conditions:

www.cornerstone.sotonfab.co.uk/terms-and-conditions

Under no circumstances will we accept designs without agreement with the terms.

Therefore, we strongly recommend that the terms and conditions are pre-authorised by your institution prior to the mask submission date.

A purchase order (PO) must be uploaded at the same time as submitting your mask design in order pay the access fee, detailed in Table 1 below. Purchase orders will not be accepted via email.

Table 1 – Access cost.

Design Area	Access Cost with Heaters*	Access Cost without Heaters*
11.47 x 4.9 mm^2	£13,150	£7,100
5.5 x 4.9 mm^2	£9,000	£4,750

*Quoted prices are exclusive of VAT, import duties/customs fees, withholding taxes etc.

For information about setting up CORNERSTONE as a supplier to your institution, please contact cornerstone@soton.ac.uk.

Section 7 details the design submission process in more detail.

1.1   Discounted access

We are launching a new initiative to encourage our users to include the Engineering and Physical Sciences Research Council (EPSRC) funded CORNERSTONE (EP/L021129/1) or CORNERSTONE 2 (EP/T019697/1) projects in the "*Funding*" section of relevant journal publications. This is important to us to be able to demonstrate impact from the funding.

Therefore, if you are able to share the digital object identifier (DOI) of three previous published journal papers that reference one of the CORNERSTONE projects, we will give you a 10% discount from the access charges listed in Table 1 above.

2   Design rule changes from previous 220 nm SOI platform call (MPW #28)

- Example chip layout for optical and electrical packaging added to the PDK
- *.oas* file type added as an acceptable file format for design submissions

3   IPKISS process design kit

For the greatest functionality, we recommend that you use Luceda's IPKISS software to access the process design kit (PDK), after purchasing the required license. The IPKISS platform enables the automation and integration of all aspects of your photonic design flow in one tool, using one standard language. The PDK can be used in either IPKISS' Python coding environment or in the GUI of Siemens EDA L-Edit by using the IPKISS Link for Siemens EDA.

To obtain a copy of the software and a license key, please contact Luceda by sending an email to info@lucedaphotonics.com, specifying that you require a license for CORNERSTONE PDK usage. Luceda will contact you within 1-2 working days following the receipt of your request to provide a quote for the license. Of course, if you already have a valid license, the PDK can be accessed free of charge.

For more information about Luceda's software offering, please visit www.lucedaphotonics.com.

Once you have access to the Luceda software, in order to obtain a copy of the CORNERSTONE PDK, please contact Luceda support at info@lucedaphotonics.com. An account will be created for you at support.lucedaphotonics.com for any technical support on Luceda's IPKISS software or the CORNERSTONE PDK implementation.

We also have a PDK available for download in *.gdsII* format.

4   Process flow

For this call, the patterns will be processed on a single-side polished Silicon-on-Insulator (SOI) wafer, with the following nominal parameters:

- Crystalline silicon (Si) substrate
- Thermal silica (SiO$_2$) Buried OXide (BOX) layer with a thickness h_{box} = 2 µm
- Crystalline silicon (Si) core layer (100)-oriented with a thickness h_{wg} = 220 nm ± 20 nm
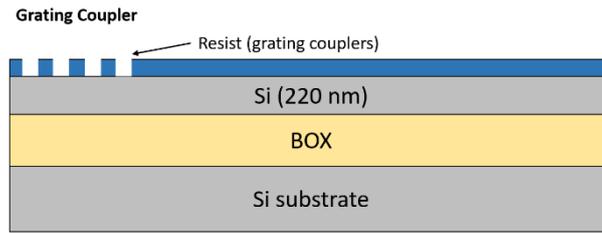
We will offer three silicon etch processes: 1) a shallow silicon etch of 70 nm ± 10 nm, 2) an intermediate silicon etch of 120 nm ± 10 nm, and 3) a full silicon etch to the BOX layer. We will offer a 1 µm ± 100 nm thick silicon dioxide top cladding layer with two metal layers for heaters: 1) heater filaments, and 2) heater contact pads.

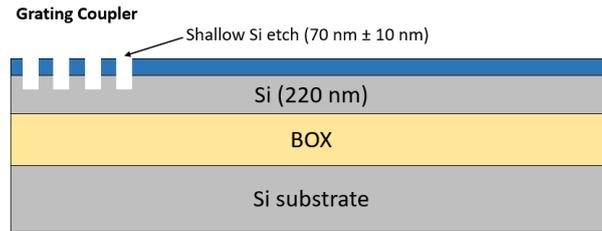The schematic description of the process flow is given below:
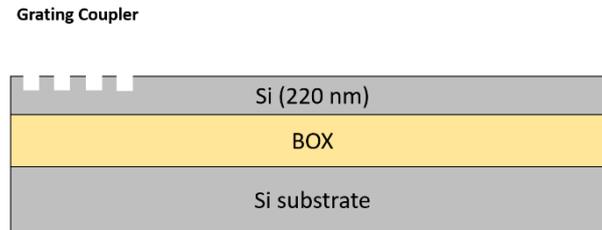
1. Starting SOI substrate
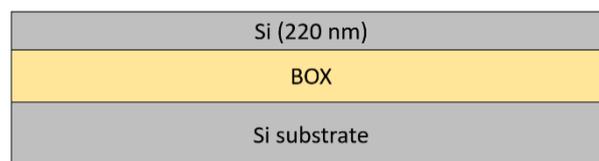
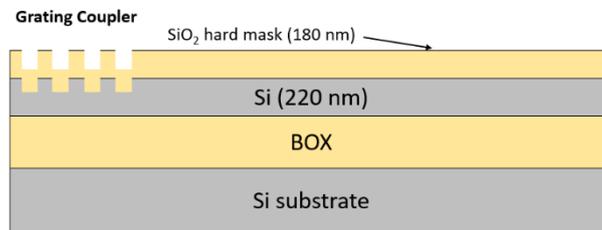2. Resist patterning for Silicon Etch 1 (GDS layer 6) – 70 nm ± 10 nm etch

Grating Coupler

Resist (grating couplers)

Si (220 nm)

BOX

Si substrate

3. Shallow Si etch (70 nm ± 10 nm etch depth)

Grating Coupler

Shallow Si etch (70 nm ± 10 nm)

Si (220 nm)

BOX

Si substrate

4. Resist strip

Grating Coupler

Si (220 nm)

BOX

Si substrate

5. Silicon dioxide hard mask deposition – 180 nm

Grating Coupler

SiO_2 hard mask (180 nm)

Si (220 nm)

BOX

Si substrate

6. Resist patterning for Silicon Etch 2 (GDS layers 3 & 4) – 120 nm ± 10 nm etch

Grating Coupler   **Strip Waveguide**   **Rib Waveguide**

Resist (waveguides)
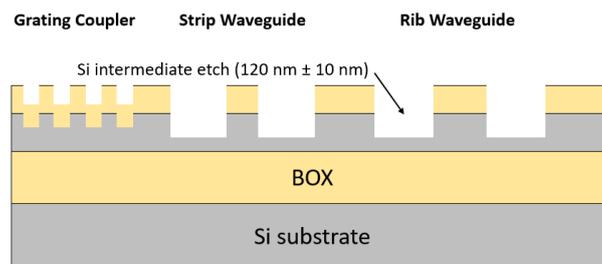
Si (220 nm)
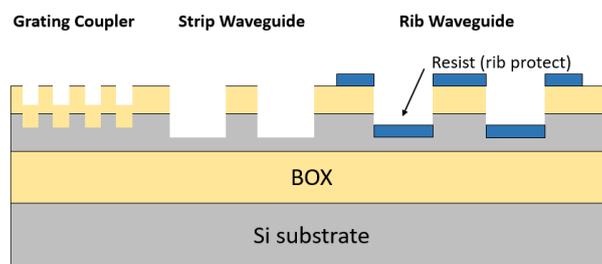
BOX

Si substrate
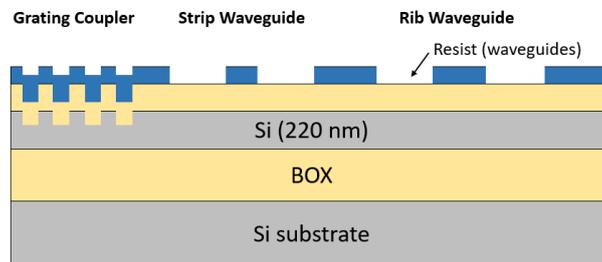
7. Hard mask etch
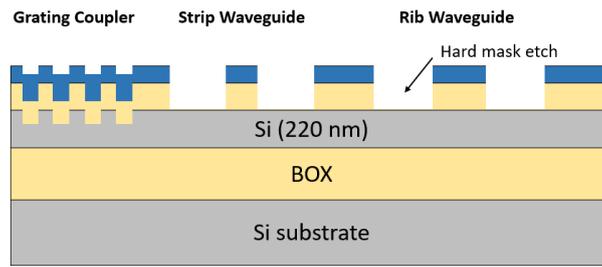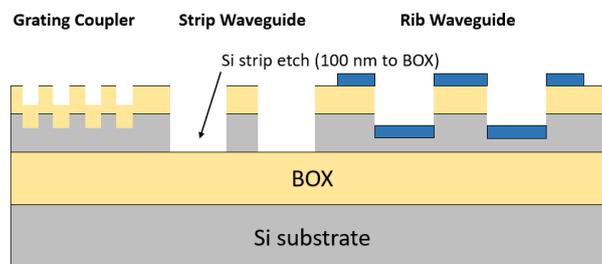

8. Resist strip
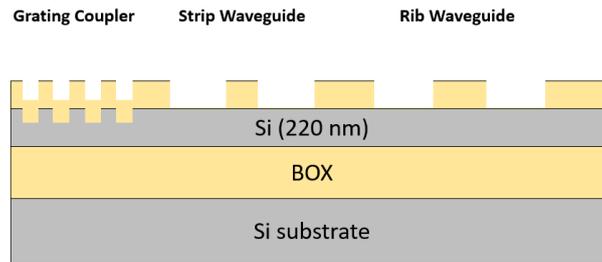
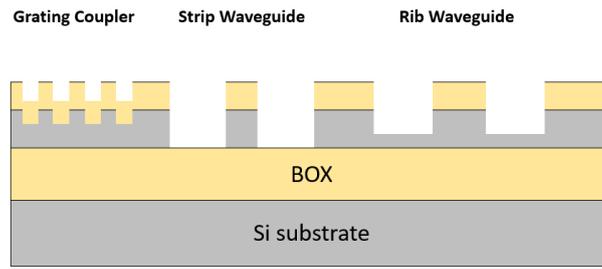9. Intermediate Si etch (120 nm ± 10 nm etch depth)

10. Resist patterning for Silicon Etch 3 (GDS layer 5) – 100 nm etch to BOX

11. Si continuation etch to BOX (100 nm etch to BOX)

12. Resist strip

13. Deposition of 1 µm ± 100 nm thick SiO_2 top cladding
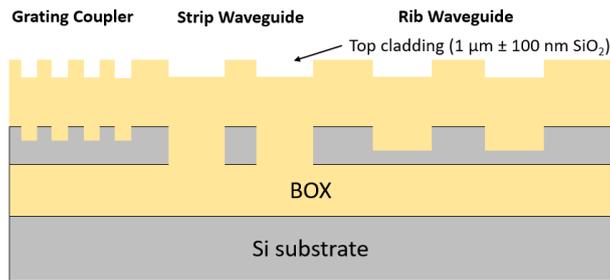
14. Resist patterning for Heater Filaments (GDS layer 39)
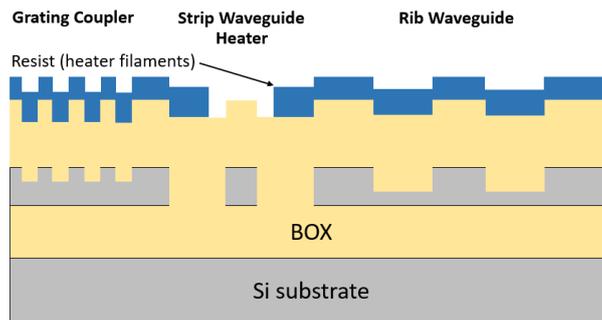
15. Heater filament deposition
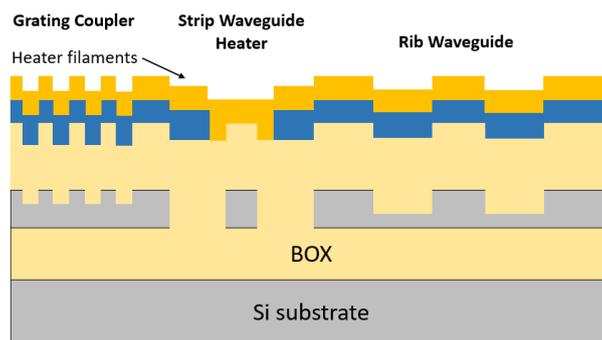
16. Metal lift-off
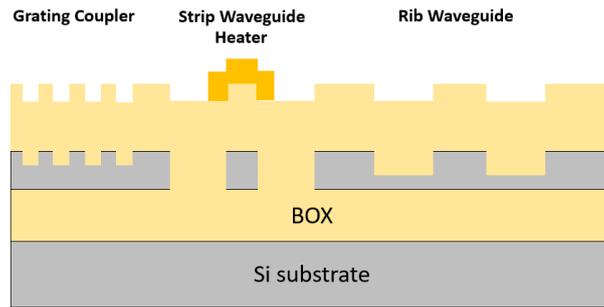
17. Resist patterning for Heater Contact Pads (GDS layer 41)
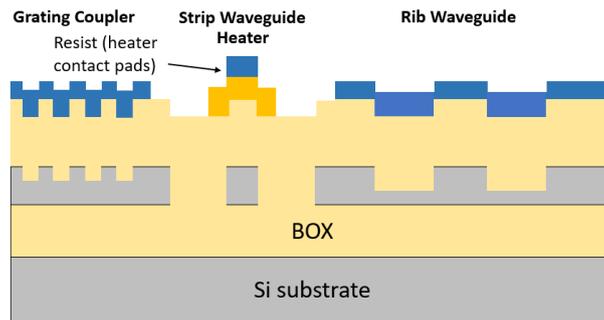
18. Heater contact pads deposition
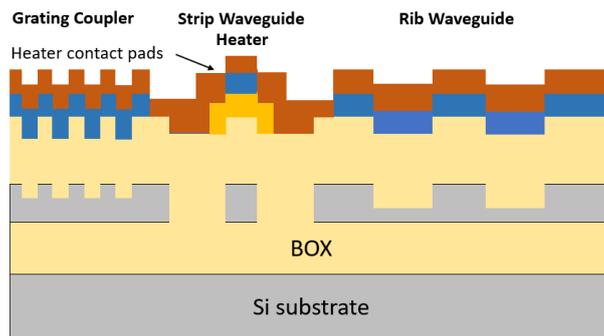
19. Metal lift-off
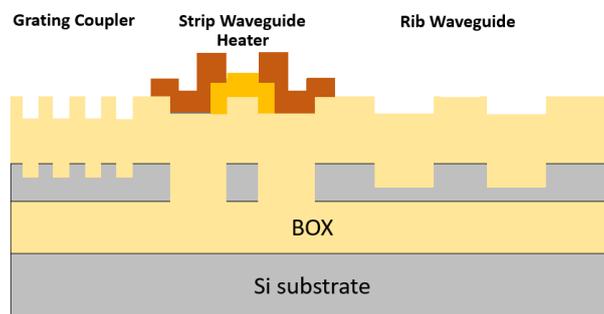
If you require any alternative processing steps (e.g. custom etch depths), we may be able to perform them for a small charge. Email cornerstone@soton.ac.uk with your request.

5   Design rules

It is important that designs conform to the following design rules to ensure clarity and correct processing.

5.1   Design area

The standard user cell has dimensions of **11.47 x 4.9 mm²** or **5.5 x 4.9 mm²**.

5.1.1   Physical die size

The physical size of the dies you will receive is approximately 5.3-5.6 x 12.5 mm². This area includes a border the CORNERSTONE team will add that contains alignment marks, metrology boxes etc. which surround 3x design areas from various CORNERSTONE users, as shown in Figure 1. If you require specific physical die dimensions (5.3 x 12.5 mm² or 5.6 x 12.5 mm²), for example if integration to a PCB is required, please specify the physical die dimensions you require in the online mask submission form you are required to complete as part of the submission process (see Section 7).
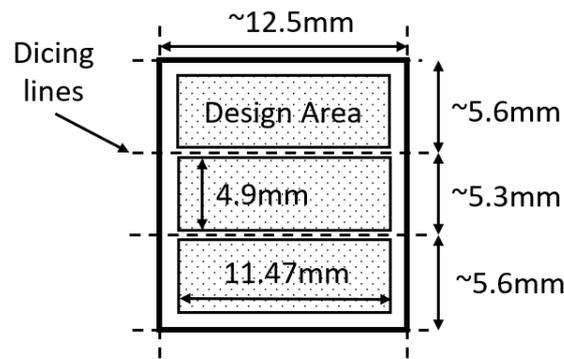
Figure 1 – Physical die dimensions.

5.2   GDS layers

Each lithographic step in the fabrication process flow is identified by a specific GDS layer/s. These are as follows:

Silicon Etch 1 (Grating couplers) – GDS Layer 6 (Dark field) – etch depth: 70 nm ± 10 nm

This layer is used to define grating couplers, which are fabricated with 70 nm shallow silicon etching. The drawn area is etched.

Silicon Etch 2 (Waveguide layer) – GDS Layer 3 (Light field) & GDS Layer 4 (Dark field) – etch depth: 120 nm ± 10 nm

This layer defines both strip and rib waveguides (to form a rib waveguide, the slab region is protected during Silicon Etch 3, defined by GDS layer 5 – see below), and is split into two separate GDS layer numbers, patterned into the same resist and etched together:

> *GDS Layer 3*: Drawn objects on this layer will be protected from the silicon etch. Users should draw the waveguides and any other features to remain following 120 nm silicon etching (to the rib waveguide height). During fracturing processing, this will be translated into a pattern that defines 5 μm wide trenches on either side of the waveguides drawn in GDS layer 3 (see Figure 2).

User provides:

Etched pattern after processing:

Waveguide drawn in GDS layer 3

Processed to etch 5 μm trench
around GDS layer 3 (performed
by CORNERSTONE)
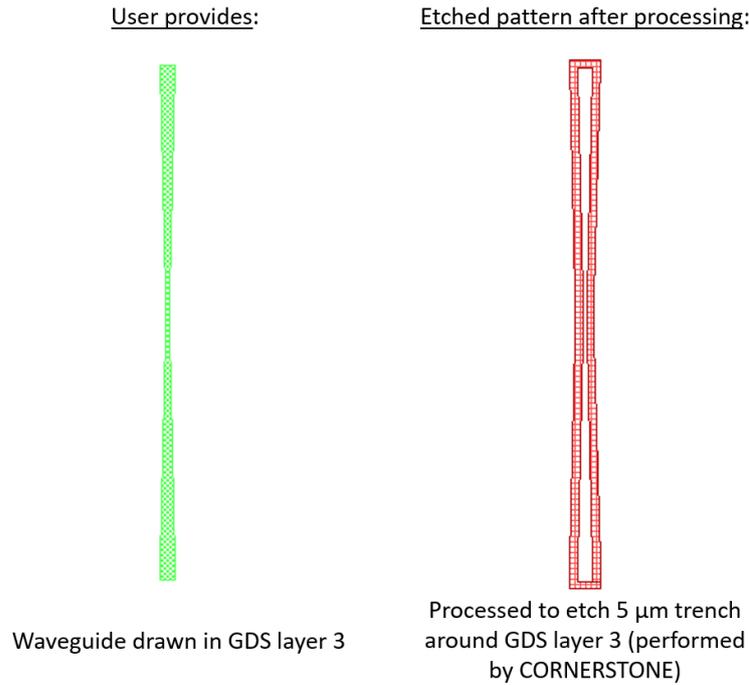
Figure 2 - Description of GDS Layer 3 processing.

If you require waveguide trenches that are a different width, refer to the guidelines for generating the trenches in Section 8. You can complete these steps yourself and modify the growth function dimension in step 1.

GDS Layer 4: Drawn objects on this layer will be exposed to the 120 nm silicon etch (to the rib waveguide height). An example photonic crystal structure is shown in Figure 3. The important thing to note here is that the waveguide layer drawn in GDS layer 3 should overlap the structures drawn in GDS layer 4, so that when the 5 μm trenches are generated by CORNERSTONE, a continuous waveguide remains.

User provides:

Etched pattern after processing:

GDS layer 4 →

GDS layer 3 →

Waveguide drawn in GDS layer 3
(green) + photonic crystal drawn
in GDS layer 4 (black)

Processed to etch 5 μm trench
around GDS layer 3 (performed
by CORNERSTONE). GDS layer 4
etched as drawn

Figure 3 – Example photonic crystal structure using GDS Layers 3 & 4.

Silicon Etch 3 (Rib protect layer) – GDS Layer 5 (Light field) – etch depth: 100 nm to BOX

This layer defines the protective layer for rib waveguides. Drawn objects in this layer will be protected from etching whilst the strip waveguides are etched to the BOX (all areas not previously defined in the Silicon Etch 2 layer will be protected from etching by a hard mask). Typical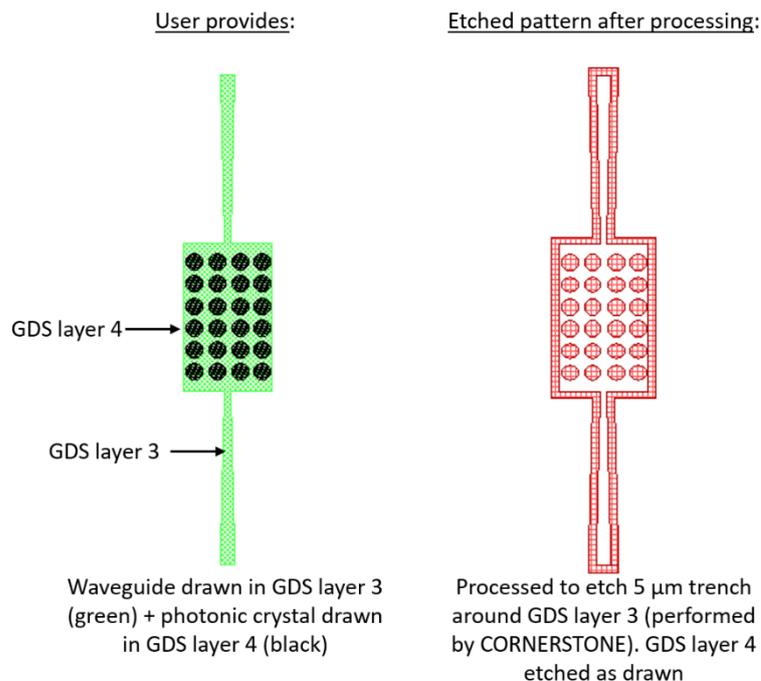ly, this layer extends 10 µm from the waveguide edge, with the exception of any rib-to-strip transitions. An overview of how to draw both strip and rib waveguides is shown in Figure 4.
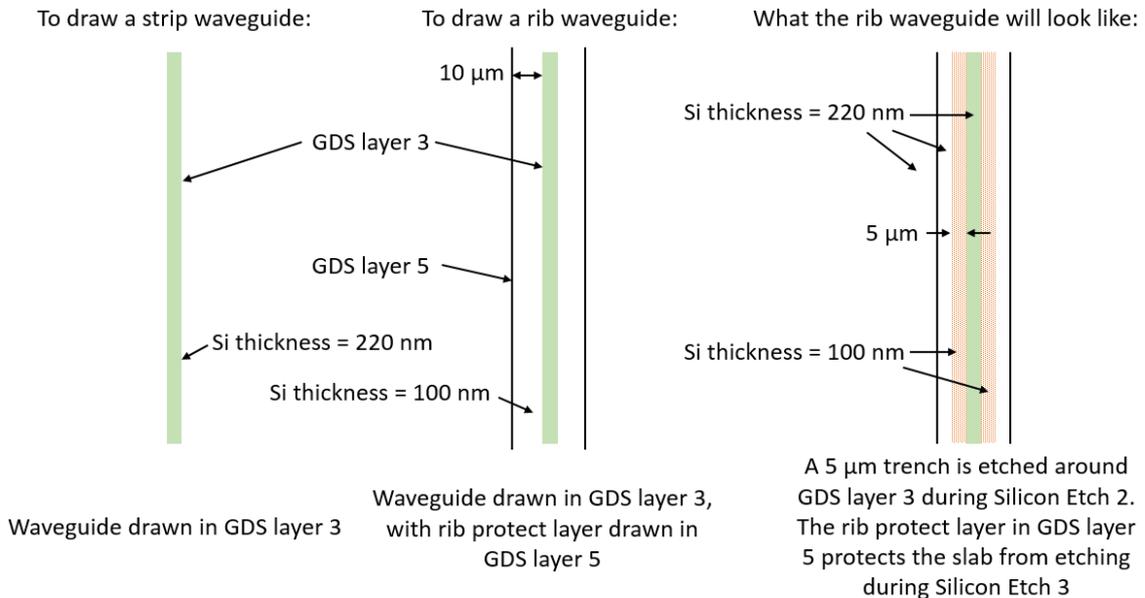
Figure 4 – Drawing strip and rib waveguides.

Heater Filaments – GDS Layer 39 (Light field)

This layer defines the heater filaments. Drawn objects on this layer will remain after metal lift-off. It is recommended to use a filament width of 900 nm for the best compromise between heater power efficiency, phase tunability and robustness.

Heater Contact Pads – GDS Layer 41 (Light field)

This layer defines the heater contact pads. Drawn objects on this layer will remain after metal lift-off.

An example heater layout for a straight waveguide is included in the *.gdsII* template file. The contact pads can be modified according to your probe design.

Cell Outline – GDS Layer 99

This layer defines the design space boundaries (11.47 x 4.9 mm^2 or 5.5 x 4.9 mm^2).

Labels – GDS Layer 100

This layer defines text labels, which will be merged with Silicon Etch 2 (Waveguides) by the CORNERSTONE team. This layer will not have any design rule checking (DRC) performed.

Note: You do not need to add fabrication alignment marks to your design. Layer-to-layer alignment marks will be added by the CORNERSTONE team, placed outside the design area.

5.3   Minimum feature sizes, target critical dimensions and other design rules

- Minimum feature sizes, minimum gaps, and maximum feature widths for each GDS layer are detailed in Table 2.
- The target critical dimension for each GDS layer is listed in Table 2. Note that other feature sizes may have a small dimensional bias.
- A minimum spacing between waveguides of at least 5 µm is recommended to avoid power coupling.
- An overlap of at least 200 nm between GDS layers is essential to account for the alignment tolerance between layers.
- All structures drawn in GDS layer 6 (Grating couplers) must overlap by at least 200 nm with GDS layer 3 (Waveguides).
- An overlap of at least 10 µm between GDS layer 39 (Heater Filaments) and GDS layer 41 (Heater Contact Pads) is recommended for optimal heater performance.
- Ensure all structures drawn in GDS layer 6 (Grating couplers) do not overlap with either GDS layer 39 (Heater Filaments) or GDS 41 (Heater Contact Pads).

5.4   Design rules summary

A summary of the design rules and GDS layer numbers described in this section is detailed in Table 2 below.

Table 2 – Design rules summary.

Layer description	GDS number	Field	Min. feature size	Min. gap	Max. feature width	Target critical dimension
Silicon Etch 1 (70 nm ± 10 nm)	6	Dark	200 nm	250 nm	20 µm	315 nm
			200 nm	350 nm	N/a	
Silicon Etch 2 (120 nm ± 10 nm)	3	Light	350 nm	200 nm	N/a	450 nm
	4	Dark	200 nm	350 nm		
Silicon Etch 3 (100 nm to BOX)	5	Light	250 nm	250 nm	N/a	250 nm
Heater Filaments	39	Light	600 nm	10 µm	N/a	900 nm
Heater Contact Pads	41	Light	2 µm	10 µm	N/a	2 µm
Cell Outline	99	N/a	N/a	N/a	N/a	N/a
Labels*	100	Dark	250 nm	250 nm	N/a	N/a

*Features drawn in the Labels layer will be merged into Silicon Etch 2 by the CORNERSTONE team.

In order to help you ensure that you comply with the design rules, you can also download a design rule check (DRC) checklist from our website and if you have access to Tanner L-Edit software, a .tdb version of the template containing a DRC file that you can run to automatically find any design rule violations (note that the automatic DRC will not check all of the design rules, so it remains very important to read the design rules in detail).

5.5   File format

Designs must be submitted in a Graphical Database System file (extension *.gdsII*) or Open Artwork System Interchange Standard (extension *.oas*) format. Ensure a manufacturing grid size of 1 nm is used, as per the CORNERSTONE GDSII Template file.

We recommend dedicated lithography editing software be used in the design of the *.gdsII* or *.oas* file.

5.6   GDSII template file

A .*gdsII* template file has been made available containing the information described in this section. Ensure that all submitted designs fit within the specified area, and that only the designated GDS layer numbers are used.

6   Quality assessment

This fabrication run will be qualified by characterising a standard test pattern that is included on the chip (not part of the user cell). The test structures that will be checked after fabrication are reported in Table 3 below, together with the values that are targeted by the CORNERSTONE platform.

Table 3 – Quality assessment parameters.

Test structure	Parameter	Value
Straight single mode rib waveguide	Propagation loss	< 4 dB/cm for TE mode
MZI integrated 200 μm length heater	Phase shift efficiency	< 30 mW/π phase shift

7   Mask submission procedure

In order to be eligible to submit a design you must first sign-up to this call using the online form found using the link below. This is in order to enable us to prepare the necessary paperwork and plan the fabrication process effectively. The sign-up deadline is found at the top of this document.

www.cornerstone.sotonfab.co.uk/work-with-us/sign-up-form

Under no circumstances will we accept any design submissions for which we have not received a sign-up form.

After completing the sign-up form, when you are ready to submit your mask design on or before the mask submission deadline listed at the top of this document, follow the link below to the CORNERSTONE website mask submission page:

www.cornerstone.sotonfab.co.uk/work-with-us/mask-submission-form

A purchase order (PO) must be uploaded to this form to pay the access fee. Purchase orders will not be accepted via email.

After completion of the mask submission form, you will be emailed instructions on how to share your design file with the CORNERSTONE team. Ensure that the top cell in your design file is titled 'Cell0_*[Name of Institution]*'.

For information about setting up CORNERSTONE as a supplier to your institution, please contact cornerstone@soton.ac.uk.

8   Mask processing performed by CORNERSTONE

Upon receipt of your design file, the CORNERSTONE team will perform the following mask processing steps in order to produce the final mask, based on the descriptions provided in Section 5.2:

Silicon Etch 2 (Waveguide layer) – GDS Layer 3 (Light field) & GDS Layer 4 (Dark field) – etch depth: 120 nm ± 10 nm:

1. Grow Waveguide layer (GDS layer 3) by 5 µm in all directions.
2. Subtract the Waveguide layer (GDS layer 3) from the output of (1) to produce the etch trenches around the drawn waveguides.
3. Merge the output of (2) with the dark field Waveguide Etch layer (GDS layer 4) and the Labels layer (GDS layer 100).

Silicon Etch 3 (Rib protect layer) – GDS Layer 5 (Light field) – etch depth: 100 nm to BOX:

1. Subtract the Rib Protect layer (GDS layer 5) from the Cell Outline (GDS layer 99) to convert to a dark field mask.

9   Technical support

If you have any questions relating to the fabrication process or design rules, please contact the CORNERSTONE team (cornerstone@soton.ac.uk).

10   Device delivery

A total of 10 replica cells will be delivered to each user. A tentative delivery date of January 2023 has been set.

11   Feedback

Feedback is essential to the CORNERSTONE team. It is required to ensure a continuous improvement to the quality of our services. It is also evidence on the user satisfaction, and a measure to what extent we were able to meet user requirements. Therefore, we kindly ask our users to provide feedback to us, including device performance data, SEM images, future interests for the CORNERSTONE project etc. A feedback form will be sent to you along with your chips.

Alternatively, email cornerstone@soton.ac.uk with your comments.

12   Publication

Please include CORNERSTONE in the "*Acknowledgments*" section of any publications that result from the chips you receive from CORNERSTONE and if appropriate reference CORNERSTONE (EP/L021129/1) in the "*Funding*" section.